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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09801583
	Filing Date		2001-03-08
	First Named Inventor	Christopher Keith	
	Art Unit	3628	
	Examiner Name	Jennifer L. Liversedge	
	Attorney Docket Number	IVEN125463	

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	2	4346442	A	1982-08-24	Musmanno	
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	8	5253165	A	1993-10-12	Leiseca	

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9	5297031	A	1994-03-22	Guterman	
10	5297032	A	1994-03-22	Trojan	
11	5412804	A	1995-05-02	Krishna	
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21	6029146	A	2000-02-22	Hawkins	
22	6035289	A	2000-03-07	Chou	
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42	6629082	B1	2004-09-30	Hambrecht	
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	1	Board of Trade of the City of Chicago, Commodity Trading Manual, 1998, pp 178-179, 387	<input type="checkbox"/>

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2	Hull, J.C., Introduction to Futures & Options Markets, 2d ed., Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34.	<input type="checkbox"/>
3	Livingston, M., Bonds and Bond Derivatives, Blackwell Publishers Inc., Malden, Mass., 1999, pp. 38-41.	<input type="checkbox"/>
4	"More Companies Buying Back Stock," The Salt Lake Tribune, April 9, 2000, <http://proquest.umi.com/pqdweb?index=6&did=52501230&srchMode=1&sid=21&Fmt=3...>[retrieved November 3, 2005].	<input type="checkbox"/>
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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
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Signature	/Kevan L. Morgan/	Date (YYYY-MM-DD)	2006-10-13
Name/Print	Kevan L. Morgan	Registration Number	42015

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